

Electronic Acknowledgement Receipt

EFS ID:	6407008
Application Number:	10753069
International Application Number:	
Confirmation Number:	5428
Title of Invention:	Systems and methods for trading actively managed funds
First Named Inventor/Applicant Name:	Clifford J. Weber
Customer Number:	22930
Filer:	Michael Jay Stimson
Filer Authorized By:	
Attorney Docket Number:	00322.0008.CPUS01
Receipt Date:	06-NOV-2009
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Application Type:	Utility under 35 USC 111(a)

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Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 940
RAM confirmation Number	107
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	RequestForContinuedExamination.pdf	77492	no	3
			e2af6ea8992d0470e97c5e0b7e7f9154ebd9a154		

Warnings:

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Information:

2	Extension of Time	sb0022PetitionForExtension.pdf	312992	no	2
			89580e32b89cae1501b485f9f546ed1e93b19823		

Warnings:

Information:

3	Amendment Submitted/Entered with Filing of CPA/RCE	AmendmentsAndResponse.pdf	1285840	no	27
			810f3084723e0c41318a5b21940ba60def835ab5		

Warnings:

Information:

4	Terminal Disclaimer Filed	TerminalDisclaimer.pdf	93566	no	1
			b6dfdd7206be264d1ed7d7fd0059abbf0560991b		

Warnings:

Information:

5	Affidavit/Dec/Exhibit after Notice of Appeal	3dDeclarationCharlesBaker.pdf	60892	no	2
			2f2b61125b86408e4d26ce7fef8a44461c7ca05c		

Warnings:

Information:

6	Affidavit/Dec/Exhibit after Notice of Appeal	DallmerDeclaration.pdf	171509	no	5
			6f8704679ed5eabfbcd8af5cd4a00cdf0a776aee		

Warnings:

Information:

7	Affidavit/Dec/Exhibit after Notice of Appeal	BakerDeclaration.pdf	976087	no	14
			bca086d3cc5d5a3ce4dd237347c7cc84f957c8a2		

Warnings:

Information:

8	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit1BakerDecl.pdf	117623	no	3
			73239ef578278fa8360b0209b9fc5dc1cbbecacd4		
Warnings:					
Information:					
9	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit2BakerDecl.pdf	219584	no	18
			7062b6d128e227b6b1be0f99eab78934be07176e		
Warnings:					
Information:					
10	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit3BakerDecl.pdf	225830	no	4
			4a74a3a5f684ae263cf37378b2594564d7f4b48d		
Warnings:					
Information:					
11	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit4BakerDecl.pdf	172479	no	3
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Warnings:					
Information:					
12	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit5BakerDecl.pdf	215431	no	3
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13	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit6BakerDecl.pdf	3368324	no	112
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Information:					
14	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit7BakerDecl.pdf	278726	no	2
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Warnings:					
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15	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit8BakerDecl.pdf	678469	no	22
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Warnings:					
Information:					
16	Fee Worksheet (PTO-875)	fee-info.pdf	32088	no	2
			6220e05575dd28e75622c14acd5bf4b4bdd5457c		
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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